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## FACSIMILE COVER SHEET

**DATE:** December 22, 2005  
**FILE NO:** APPM/005765.Y1/PPC/CMP/CKIM  
**TO:** MAIL STOP RCE  
**FAX NO:** 571-273-8300  
**COMPANY:** USPTO  
**FROM:** Keith M. Tackett  
**PAGE(S) with cover:** 13  
**ORIGINAL TO FOLLOW?** ☐ YES ☒ NO

### REQUEST FOR CONTINUED EXAMINATION (RCE) AND PREIMINARY AMENDMENT

**TITLE:** Article for Polishing Semiconductor Substrates  
**U.S. SERIAL NO.:** 10/026,854  
**FILING DATE:** December 20, 2001  
**INVENTOR:** Li, et al.  
**EXAMINER:** Alvin J. Grant  
**GROUP ART UNIT:** 3723  
**CONFIRMATION NO.:** 1816

### CONFIDENTIALITY NOTE

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DEC 22 2005

PTO/SB/30 (04-05)

Approved for use through 07/31/2008, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Request  
For  
Continued Examination (RCE)  
TransmittalAddress to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	10/026,854
Filing Date	DECEMBER 20, 2001
First Named Inventor	LI, ET AL
Art Unit	3723
Examiner Name	ALVIN J. GRANT
Attorney Docket Number	APRM/005785.Y1/PPC/CMP/CKIM

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 C.F.R. 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other \_\_\_\_\_
- Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. 1.170) required)

b. ☐ Other \_\_\_\_\_
- Fees** The RCE fee under 37 C.F.R. 1.17(a) is required by 37 C.F.R. 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1074/005785.Y1/RWM. I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 C.F.R. 1.17(e)

ii. ☐ Extension of time fee (37 C.F.R. 1.136 and 1.17)

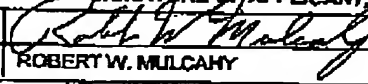
iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

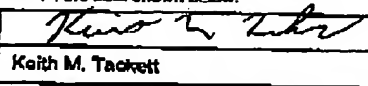
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature		Date	December 22, 2005
Name (Print/Type)	ROBERT W. MULCAHY	Registration No. (Attorney/Agent)	25,436

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	December 22, 2005
Name (Print/Type)	Keith M. Tackett		

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RCE - Request for Continued Examination SB-30.DOC